



State of Louisiana
Louisiana Department of Health
Bureau of Health Services Financing

VIA E-MAIL ONLY

November 10, 2021

Mr. Karl Lirette, CEO
United Healthcare Community Plan
3838 N. Causeway Blvd., Suite 2600
Metairie, LA 70002

RE: Notice of Monetary Penalty – Requests for Information from the Louisiana Attorney General

Dear Karl:

United Healthcare Community Plan (UHC) has an obligation to provide information at the request of the Louisiana Office of the Attorney General Medicaid Fraud Control Unit (MFCU) in accordance with the terms of UHC's contract with the Louisiana Department of Health (LDH), which provides:

4.7.5. The State, including LDH, Louisiana Office of the Attorney General Medicaid Fraud Control Unit (MFCU), and the Louisiana Legislative Auditor (LLA), and the federal government, including, CMS, HHS Inspector General, and the General Accounting Office, or their designees, have the right to audit, evaluate, and inspect any records or systems that pertain to any activities performed or amounts payable under this Contract at any time.

On August 26, 2021, MFCU contacted LDH regarding a request for information (RFI) related to an investigation of a Medicaid provider. MFCU sent a RFI to UHC to obtain information necessary to conduct the investigation. As part of its response, UHC sent MFCU a redacted copy of a summary of its investigation of the Medicaid provider. On September 9, 2021, LDH directed UHC to provide the information to MFCU without redactions. UHC was informed it must ensure that no portion of documents are redacted when responding to MFCU, as Section 4.7.5 of the contract between LDH and UHC allows MFCU access to all records retained by UHC.

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On November 8, 2021, MFCU contacted LDH regarding another RFI it sent to UHC. MFCU reported that UHC had *once again* sent redacted documents as part of its response to MFCU.

Failure to adhere to the contract requirements cited herein warrants the assessment of a monetary penalty per occurrence per calendar day of non-compliance of \$5,000, as outlined in the contract between UHC and LDH. A total penalty in the amount of \$5,000 will be retained from the next monthly capitation payment made to UHC.

Should you have any questions, please do not hesitate to contact me.

Sincerely,



Stacy Guidry
Section Chief, Medicaid Program Operations and Compliance

SG/lj

cc: Michael Boutte
Patrick Gillies
Marisa Naquin
Kim Sullivan
Christina Wilson
UHC2-58